



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dixon, III et al.

:

Serial No.: 09/391,772

:

Filed: September 8, 1999

:

For: METHODS AND APPARATUS
FOR SUBMITTING
INFORMATION TO AN
AUTOMATED LENDING
SYSTEM

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Art Unit: 3624

Examiner: Ella Colbert

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AMENDMENT AFTER FINAL

Commissioner for Patents
Washington, D.C. 20231

Applicants respectfully request consideration and entry of the following amendment
submitted in response to the Office Action dated January 28, 2003 and made final.

IN THE CLAIMS

1. (twice amended) A method for submitting a report from an accounting system to an
automated lending system including a server, a local file system being coupled to the accounting
system, said method comprising the steps of:

generating at the accounting system a report having at least one of a defined report format
and a defined translation service;

exporting the report from the accounting system to a local file system;

submitting the report from the local file system to the server;

determining at the server whether the submitted report has the at least one of the defined
report format and the defined translation service; and



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AF/624

PATENT
RD-27,422

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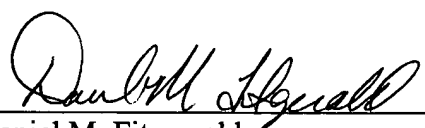
CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: EV263875805US
Date of Mailing: April 28, 2003

I certify that the documents listed below:

- Transmittal (3 pgs., in duplicate)
- Amendment in Response to Office Action dated January 28, 2003 (20 pgs.)
- Submission of Marked Up Claims (4 pgs.)
- Certificate of Mailing via Express Mail (1 pg.)
- Return post card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to BOX AF, Commissioner for Patents, Washington, D.C. 20231.


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Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

1. Transmitted herewith is:
Amendment in Response to Office Action dated January 28, 2003; Submission of
Marked Up Claims; Certificate of Mailing by Express Mail

STATUS

2. Applicant
☐ claims small entity status.
☒ is other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

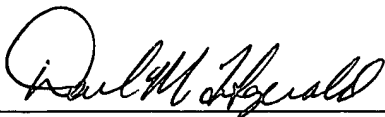
MAILING

☒ deposited with the United States Postal Service
Express Mail, Post Office to Addressee, Label No.
EV263875805US, addressed to the Commissioner for
Patents, Washington, D.C. 20231

Date: 4-28-03

FACSIMILE

transmitted by facsimile to the Patent and Trademark
Office



Daniel M. Fitzgerald
Reg. No. 38,880

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) _____ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
_____ first month	\$ 110.00	\$ 55.00
_____ second month	\$ 410.00	\$ 205.00
_____ third month	\$ 930.00	\$ 465.00
_____ fourth month	\$1,450.00	\$ 725.00
_____ fifth month	\$1,970.00	\$ 985.00

Fee: _____ \$

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

_____ An extension of _____ months has already been secured. The fee paid therefor \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL RATE FEE	OR	ADDITIONAL RATE FEE
TOTAL		MINUS		=	x \$9 = \$		x \$18 = \$
INDEP.		MINUS		=	x \$42 = \$		x \$84 = \$
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$130 = \$		+ \$280 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$

(a) ☒ No additional fee for Claims is required

OR

(b) _____ Total additional fee for claims required \$

FEE PAYMENT

5. _____ Attached is a check in the sum of \$_____

_____ Charge Deposit Account No. 01-2384 the sum of \$
_____ A duplicate of this transmittal is attached.

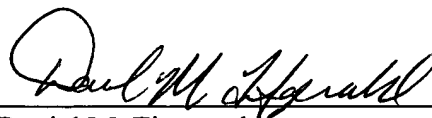
FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. _____ Other:



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